

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K
CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

January 17, 2023

Date of Report (date of Earliest Event Reported)

NEWTEKONE, INC.

(Exact Name of Company as Specified in its Charter)

Maryland

(State or Other Jurisdiction of Incorporation or Organization)

814-01035

(Commission File No.)

46-3755188

(I.R.S. Employer Identification No.)

4800 T Rex Avenue, Suite 120, Boca Raton, Florida 33431

(Address of principal executive offices and zip code)

(212) 356-9500

(Company's telephone number, including area code)

NEWTEK BUSINESS SERVICES CORP.

(Former name or former address, if changed from last report)

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.02 per share	NEWT	Nasdaq Global Market LLC
5.75% Notes due 2024	NEWTL	Nasdaq Global Market LLC
5.50% Notes due 2026	NEWTZ	Nasdaq Global Market LLC

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.03. Amendments to Articles of Incorporation or Bylaws.

Effective January 13, 2023, Newtek Business Services Corp. (the “Company”) filed Articles of Amendment amending the Company’s Charter to change the name of the Company to “NewtekOne, Inc.”

Item 9.01 Financial Statement and Exhibits

(d) Exhibits.

<u>Exhibit Number</u>	<u>Description</u>
<u>99.1</u>	<u>Newtek Business Services Corp. Articles of Amendment dated January 13, 2023</u>

SIGNATURES

In accordance with the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: January 17, 2023

NEWTEKONE, INC.

By:

/S/ BARRY SLOANE

Barry Sloane

Chief Executive Officer, President and Chairman of the Board



DEPARTMENT OF
ASSESSMENTS AND TAXATION

Larry Hogan, Governor · Boyd K. Rutherford, Lt. Governor · Michael L. Higgs, Jr., Director

Date: 01/13/2023

CSC-LAWYERS INCORPORATING SERVICE
COMPANY
7 ST. PAUL STREET
SUITE 820
BALTIMORE MD 21202

THIS LETTER IS TO CONFIRM ACCEPTANCE OF THE FOLLOWING FILING:

ENTITY NAME : NEWTEKONE, INC.
DEPARTMENT ID : D15419096
TYPE OF REQUEST : ARTICLES OF AMENDMENT / NAME CHANGE
DATE FILED : 01-13-2023
TIME FILED : 11:33 AM
RECORDING FEE : \$100.00
EXPEDITED FEE : \$445.00
COPY FEE : \$22.00
FILING NUMBER : 1000362013899184
CUSTOMER ID : 0003931100
WORK ORDER NUMBER : 0005147675

PLEASE VERIFY THE INFORMATION CONTAINED IN THIS LETTER. NOTIFY THIS DEPARTMENT
IN WRITING IF ANY INFORMATION IS INCORRECT. INCLUDE THE CUSTOMER ID AND THE WORK
ORDER NUMBER ON ANY INQUIRIES.

Charter Division
Baltimore Metro Area (410) 767-1350
Outside Metro Area (888) 246-5941

ENTITY TYPE: ORDINARY BUSINESS - STOCK
STOCK: Y
CLOSE: N
EFFECTIVE DATE: 01-13-2023
PRINCIPAL OFFICE: 7 ST. PAUL STREET
SUITE 820
BALTIMORE MD 21202
RESIDENT AGENT: CSC-LAWYERS INCORPORATING SERVICE COMPANY
7 ST. PAUL STREET
SUITE 820
BALTIMORE MD 21202

COMMENTS:
THIS AMENDMENT RECORD INDICATES THE NAME CHANGE
FROM: NEWTEK BUSINESS SERVICES CORP.
TO: NEWTEKONE, INC.

NEWTEK BUSINESS SERVICES CORP.

ARTICLES OF AMENDMENT

Newtek Business Services Corp., a Maryland corporation (hereinafter called the "Corporation"), hereby certifies to the State Department of Assessment and Taxation of the State of Maryland that:

FIRST: The charter of the Corporation is hereby amended by deleting Article I in its entirety and substituting in lieu thereof the following:

"ARTICLE I

NAME

The name of the corporation (the "Corporation") is NewtekOne, Inc."

SECOND: This amendment to the charter of the Corporation as set forth above has been duly approved by a majority of the entire Board of Directors of the Corporation, without action by the stockholders of the Corporation, pursuant to Section 2-605(a)(1) of the Maryland General Corporation Law.

THIRD: The undersigned acknowledges these Articles of Amendment to be the corporate act of the Corporation and as to all matters or facts required to be verified under oath, the undersigned acknowledges that to the best of his knowledge, information and belief, these matters and facts are true in all material respects and that this statement is made under the penalties for perjury.

IN WITNESS WHEREOF, the Corporation has caused the foregoing amendment of its charter to be signed in its name on its behalf by its Chairman and Chief Executive Officer and attested to by its Secretary on this 13th day of January 2023.

NEWTEK BUSINESS SERVICES CORP.:

DocuSigned by:

Barry Sloane

3906221736044CA

Barry Sloane
Chairman and Chief Executive Officer

ATTEST:

DocuSigned by:



F16C0EAA3D67453

Michael Schwartz
Secretary

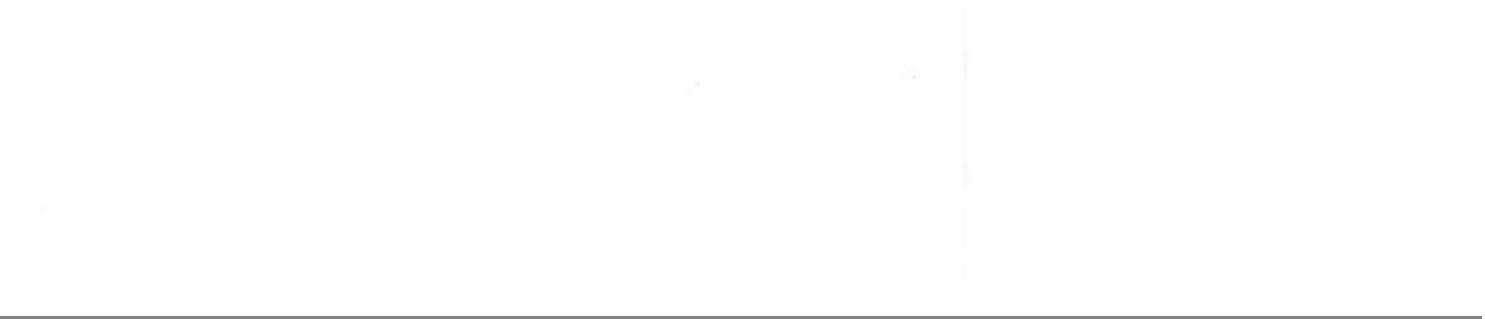
CUST ID: 0003931100
WORK ORDER: 0005147675
DATE: 01-13-2023 11:33 AM
AMT. PAID: \$567.00

STATE OF MARYLAND

I hereby certify that this is a true and complete copy of the 2 page document on file in this office. DATED: 01/13/2023
STATE DEPARTMENT OF ASSESSMENTS AND TAXATION

BY: Dominic Vincela, Custodian

This stamp replaces our previous certification system. Effective: 6/05



CORPORATE CHARTER APPROVAL SHEET

**** EXPEDITED SERVICE ****

**** KEEP WITH DOCUMENT ****

DOCUMENT CODE 09A BUSINESS CODE 03
D15419096



1000362013899184

Close _____ Stock Nonstock _____

P.A. _____ Religious _____

Merging /Converting _____

Surviving/Resulting _____

ID # D15419096 ACK # 1000362013899184
PAGES: 0002
NEWTEKONE, INC.

01/13/2023 AT 11:33 A WO # 0005147675

New Name Newtek One, Inc.

FEES REMITTED

Base Fee:	<u>100</u>
Org. & Cap. Fee:	<u>445</u>
Expedite Fee:	
Penalty:	
State Recordation Tax:	
State Transfer Tax:	
Certified Copies:	
Copy Fee:	<u>22</u>
Certificates:	
Certificate of Status Fee:	
Personal Property Filings:	
NP Fund:	
Other:	

TOTAL FEES: 567

- Change of Name
- Change of Principal Office
- Change of Resident Agent
- Change of Resident Agent Address
- Resignation of Resident Agent
- Designation of Resident Agent and Resident Agent's Address
- Change of Business Code
- Adoption of Assumed Name
- Other Change(s)

Credit Card _____ Check Cash _____

Documents on _____ Checks _____

Approved By: 31

Keyed By: _____

COMMENT(S):

Code _____

Attention: _____

Mail: Names and Address
CSC-LAWYERS INCORPORATING SERVICE
COMPANY
7 ST. PAUL STREET
SUITE 820
BALTIMORE MD 21202

